

22 January 2025

The Public Investment Corporation SOC Limited (PIC) is an asset management firm, whose mandate is to make investments in various sectors of the economy on behalf of public sector entities. Amongst our clients are the Government Employees Pension Fund, the Unemployment Insurance Fund and the Compensation Fund. Over the years, we have grown to be amongst the top, large investment managers in South Africa and the rest of the African continent, with current assets under management at just over R2.6 trillion. At the centre of our growth is a team of self-driven professionals who are results-focused.

We are currently seeking applications from dynamic individuals for the following position:

- **Position: Compliance Officer: Regulatory Compliance**
- ***Job Grade: D1-D3**
- **Ref: CO08003**
- **Salary:** All-inclusive remuneration package negotiable
- **Centre:** Pretoria

Purpose of the role:

To assist the business in managing compliance risk and providing assurance to Management, Board, and Regulators that the PIC is aware of and takes steps to comply with legislation, policies, processes and best practice relevant to the PIC.

Requirements:

- Degree in Commerce/ Law or equivalent related qualification (Post Graduate degree added advantage)
- 2 – 4 years relevant compliance experience in the Financial Sector, preferably investment management industry
- FSCA's FAIS Compliance Officer would be an added advantage
- Registered Persons Exams (RPE) certificates for JSE Compliance Officer is an added advantage
- RE1, RE 3 and RE 5 certificates or obtain within 6 months of appointment to the role
- Sound understanding both in terms of depth and breadth of Compliance management in the asset management environment

Duties:

- To monitor compliance with relevant legislation as well as internal policies and procedures
- To provide inputs into the compiling of compliance monitoring plans
- To provide inputs into compliance risk management plans (CRMPs) based on relevant legislative requirements
- To conduct compliance audits and draft detailed compliance reports for submission to relevant internal committees
- To perform daily pre and post-trade monitoring of PIC's holdings in listed equity stocks and collective investment schemes (CIS) funds and report to the relevant stakeholders such as regulators, investee companies and market
- To assist in compiling and submitting regulatory reports to FSCA and JSE (e.g. FAIS profile changes, statutory returns)
- To provide inputs into the development, maintenance and review of compliance policies;
- To assist in performing and monitoring various duties as required by the anti-money laundering and counter-financing of terrorism regulatory requirements
- To provide inputs into the identification and interpretation of applicable compliance requirements
- To assist in providing training to staff on various legislative requirements
- To assist in performing ad-hoc functions, as and when assigned by Management

Competencies and skills:

- Audit techniques
- Excellent communication skills, both verbal and written
- Strong analytical skills
- Strong presentation skills
- Knowledge of and experience in asset classes (financial instruments) relevant to PIC
- Knowledge of and experience in legislation relevant to PIC (e.g. Companies Act, FAIS Act, FICA, CISC, Pension Fund Act (Reg 28), PFMA, Financial Markets Act, JSE Listing Requirements, Competition Act, King IV, Properties related legislations)
- Good report-writing skills
- Project Management skills
- Interpersonal skills
- Knowledge of and experience in Corporate Governance
- Risk management skills

Our firm values diversity, and excellence and is committed to the realisation of these across all operations and investment activities. If you are dynamic, resilient, agile, disciplined and willing to be part of a team that is focused on creating meaningful change, you are welcome to submit your application for this position.

Closing Date: 31 January 2025

*** Grade Range:** The grade range for this position is from D1-D3, commensurate with applicable minimum requirements.

The PIC is an equal opportunities employer, aligning appointments with the PIC Employment Equity plan.

Please forward a copy of your comprehensive curriculum vitae to: Recruitment4@pic.gov.za

Privacy Notice: *By submitting your application, you consent to the PIC processing your personal information as per applicable laws and the PIC Privacy Policy available on the PIC website (www.pic.gov.za). You are free to withdraw your consent at any time, after which, the PIC may cease processing your application.*