

PIC 016/2020: REQUEST FOR PROPOSAL TO APPOINT A SUITABLY QUALIFIED BIDDER FOR THE PROVISION OF INTEGRATION PLATFORM AS A SERVICE SOLUTION FOR A PERIOD OF FIVE (5) YEARS

The Public Investment Corporation (PIC) is a registered Financial Service Provider (FSP) and a public entity, wholly owned by the South African Government. PIC invests funds on behalf of public sector entities. Among the PIC's clients are the Government Employees Fund ("GEPF"), Unemployment Insurance Fund ("UIF") and Compensation Fund ("CP"):

The PIC has identified a need for an enterprise wide integration platform that connects PIC applications, systems, services and processes across the various hosting platforms.

The current methods used to integrate the various systems pose several challenges which often negatively affect daily and monthly business processes. The PIC has therefore decided to go to market to identify the most suitable solution that will provide better enterprise wide integration between systems and for efficient management of data transfers, which will improve PIC business processes.

The solution is also expected to have a Data Quality and Profiling features and capabilities to speed up and support processes such as best data sources identification, data quality assessments and data cleansing efforts that are critical for ensuring that complete and accurate data is used which in turn increases return on investments from proposed business solutions.

Bid documentation will be available on the PIC website: www.pic.gov.za. The Documents will be published on: tenders/corporate/Advertised/PIC016/2020 from 16 November 2020

Virtual Compulsory briefing session will be held on 23 November 2020, 11:00 AM, Bidders need to register on tenders@pic.gov.za by 12:00, 22 November 2020 (No late registrations will be accepted)- PIC016/2020 to be quoted in all communication.

Closing date for the bid submission: 15 December 2020, Closing time: 11:00 AM

Proposals must be submitted electronically to tenders@pic.gov.za. Reference number: PIC016/2020 must be indicated in all correspondence.

Enquiries: tenders@pic.gov.za

**BID NO (PIC016/2020): REQUEST FOR PROPOSAL
TO APPOINT A SUITABLY QUALIFIED BIDDER FOR THE
PROVISION OF INTEGRATION PLATFORM AS A SERVICE
SOLUTION FOR A PERIOD OF FIVE (5) YEARS**

Bid Number : PIC 016/2020
Closing Date : 15 December 2020
Closing Time : 11:00 am
Place of Submission : tenders@pic.gov.za

Compulsory Virtual Briefing Session: 23 November 2020

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Validity period of bid:180 days

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1 DEFINITIONS AND ABBREVIATIONS

- 1.1 **B-BBEE** means black broad-based economic empowerment;
- 1.2 **B-BBEE** status level of contributor means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of good practice on Black Economic Empowerment, issues in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- 1.3 **Bid** means a written offer in a prescribed or stipulated form in response to an invitation by PIC for the provision of goods and services, through price quotations, advertised competitive tendering processes or proposals;
- 1.4 **BBBEE Act** means the Broad Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- 1.7 **Consortium or joint venture** means an association of persons for the purpose of combining their expertise, property, capital, skill and knowledge in an activity for the execution of a contract;
- 1.8 **Contract** means the agreement that results from the written acceptance of a bid by the PIC and successful negotiation and signature of same by both parties delegated authorities;
- 1.10 **API** Application Programming (API) is a set of routines, protocols, and tools for building software applications;
- 1.11 **Functionality** means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, considering among other factors, the quality, reliability, viability and durability of service and the technical capacity and ability of a bidder;
- 1.14 **IT Systems** means Network infrastructure, Databases and all other Microsoft systems and Applications.
- 1.16 **Management** means an activity inclusive of control and performed daily, by any person who is a principal executive officer of the company, by whatever name that person may be designated, and whether or not that person is a director;
- 1.17 **CRIMS** Charles River Investment Management System.

- 1.19 **Ownership** means the percentage ownership and control, exercised by individuals within an enterprise;
- 1.20 **HiPortfolio** PIC's listed investment solution.
- 1.22 **IPS** Intrusion Prevention System.
- 1.23 **SANAS** means the South African National Accreditation System;
- 1.24 **Validity Period** means the time period for which price quotation for the provision of goods and services shall remain valid, in this case, being a period of 120 (one hundred and twenty) days;
- 1.25 **iPaaS** Integration Platform as a Service is a cloud-based platform for connecting various applications, systems, and technologies that are hosted in the cloud and on premise.
- 1.26 **Linx** Application system which is used as a middleware between business applications i.e. HiPortfolio and StatPro;
- 1.27 **SFTP** Secure File Transfer Protocol is a secure version of File Transfer Protocol (FTP), which facilitates data access and data transfer over a Secure Shell (SSH) data stream;
- 1.28 **Data Quality Dimension** Refers to data features which describe a context and a frame of reference for measurement;
- 1.29 **Data Profiling** Refers to a process of reviewing source data, understanding structure, content and interrelationships, and identifying potential for data projects;
- 1.30 **Metadata** A term 'loosely defined as 'data about data'. It refers to all data that provides information about other data;
- 1.31 **Data Standardization** Standardization refers to a special case of data transformation that is based on defined rules to transform source data into different data formats in target systems;
- 1.32 **Data Enrichment** Refers to the process of adding attributes to a data set to improve completeness and add value by integrating internal data with external data sets.
- 1.33 **Data Parsing** Refers to a process of analysing data using pre-determined rules to define its content or value;
- 1.34 **Data Cleansing** Data cleansing also known as scrubbing is the process of correcting erroneous data elements;

- 1.35 **SaaS** Software as a service (SaaS) is a software distribution model in which a third-party provider hosts application and makes them available to customers over the Internet.
- 1.36 **UX** User Experience.
- 1.37 **ESB** Enterprise Service Bus.
- 1.38 **OCR** Optical Character Recognition.

2 INTRODUCTION

The Public Investment Corporation (PIC) is an asset management company wholly owned by the government of the Republic of South Africa duly represented by the Minister of Finance. The PIC manages investments on behalf of public sector funds which include Government Employees Pension Fund (GEPF), Unemployment Insurance Fund (UIF) and Compensation Fund.

Established in 1911, the PIC ranks amongst the best and most successful asset management firms in the world and is by far the biggest in Africa. The PIC runs one of the most diversified portfolios, which is made up of multiple asset classes. These asset classes include listed equities, real estate, capital market, private equity and impact investing. Through listed investments, the PIC controls over 10% of the Johannesburg Stock Exchange and has direct and indirect exposure to almost all sectors of the South African economy. The corporation has a mandate to invest in the rest of the African continent and beyond. Over and above generating financial returns for clients, through its impact-investing programme, the PIC seeks to generate social returns by investing in projects that ensure inclusive growth. The PIC supports the United Nations' Sustainable Development Goals and considers environmental, social and governance issues in all its investments.

The PIC manages assets exceeding R2trillion; and as the leader in the Asset Management industry, it thrives to meet and exceed its clients' expectations.

3 BACKGROUND

- 3.1. The PIC Information Technology landscape comprise of a hybrid model with IT systems hosted on premise and in the cloud, through both private and public cloud environments.
- 3.2. Microsoft Azure is the public cloud platform hosting some of the PIC's IT systems and services whilst business applications such as CRIMS, HR Focus and various data feeds are hosted on private cloud platforms managed by the service providers.
- 3.3. Currently, PIC uses an external application suite as its middleware solution, providing integration between key business applications such as HiPortfolio, Charles River IMS, and StatPro.

- 3.4. Furthermore, an cloud-based SFTP is used to transfer files between PIC on premise solutions and externally hosted applications.
- 3.5. The PIC has no enterprise integration platform that connects all business systems, applications and services across all systems (i.e. internally and externally hosted).
- 3.6. The following are some of the methods currently being used to transfer data within PIC internal systems and external systems:
 - Use of SFTP to integrate between on premise applications and SaaS applications.
 - Current middleware is used to ease data transfer from external sources into internal applications (e.g. Market data feeds into HiPortfolio) including the transfer of data from one internal application to another (e.g. HiPortfolio to StatPro).
 - Several custom developed integration services, tools and interfaces are created between the different business applications.
 - Data and files sent through e-mails with processes on either side for the consumption purposes.
- 3.7. The above-mentioned methods are not suitable for the PIC systems landscape which is expected to become more complex with the introduction of new strategic solutions (e.g. Enterprise Resource Planning, eFront and the enterprise data warehouse).
- 3.8. Consequently, the PIC has identified a need for an enterprise wide integration platform that connects PIC applications, systems, services and processes across the various hosting platforms.
- 3.9. The current methods used to integrate the various systems pose several challenges which often negatively affect daily and monthly business processes. The PIC has therefore decided to go to market to identify the most suitable solution that will provide better enterprise wide integration between systems and for efficient management of data transfers, which will improve PIC business processes.
- 3.10. The solution is also expected to have a Data Quality and Profiling features and capabilities to speed up and support processes such as best data sources identification, data quality assessments and data cleansing efforts that are critical for ensuring that complete and accurate data is used which in turn increases return on investments from proposed business solutions.

4 SCOPE OF WORK

The scope of work defines the key project work activities to be performed, highlighting what deliverables are to be met by the prospective Bidder.

4.1 PROJECT APPROACH

The Bidder is expected to cover the following project scope items in the delivery of the of Integration Platform As a Service Solution project:

4.1.1. Requirements Gathering and Analysis

The bidder is expected to conduct thorough requirements gathering sessions with PIC stakeholders. The bidder is expected to produce deliverables including but not limited: Business Requirements Specification, Technical Requirements Specification and Software Requirement Specifications which will be used as input into the design phase of the project.

4.1.2. Design

As part of the design phase of this project, the bidder is expected to deliver design phase deliverables such as System Architecture and Detailed Design Specifications.

4.1.3. Implementation and Configuration

Based on the requirements gathered, the bidder is expected to configure and deliver a Integration Platform As a Service Solution that meets all approved requirements/specification by the PIC, including the existing configuration specification on current middleware application suite.

Bidders must also show how they will lead Change Management of the proposed solution to ensure easy adoption by stakeholders.

4.1.4. Testing

The bidder is expected to lead the testing effort for the configured solution. The bidder will be requested to produce test cases, test summary reports, test results, quality assurance plan, revised bug lists and user acceptance test.

4.1.5. Deployment

The bidder is expected to deploy the fully tested solution to environments such as Development, Quality Assurance, Pre-Production and Production and Disaster Recovery environments respectively. The Pre-Production environment must be similar to the Production environment for use by the PIC.

4.1.6. Data Migration

The bidder is expected to lead the data migration process and develop the necessary technical capabilities to extract, transform and load the data into the proposed solution.

The PIC expects the bidder to produce data migration deliverables **including but not limited** to migration strategy, source to target mapping specification, and data quality management specification as part of this process.

4.1.7. Maintenance and Support

The bidder is expected to provide comprehensive maintenance and support for the Integration Platform As a Service solution for a period of Five (5) years.

5 INTEGRATION PLATFORM AS A SERVICE BUSINESS REQUIREMENTS

The following requirements are to be met as part of the Integration Platform As a Service solution implementation at the PIC:

5.1 Business Requirements

The bidder(s) is expected to meet 70% of the below requirements (Functional and Non-Functional) in order to proceed to the next stage.

#	Description	Comply	Not Comply	Comments	Ref of RFP Response
	Business Requirements				
5.1.1	The solution must provide integration between on-premise and externally hosted applications, infrastructure, tools and processes.				
5.1.2	The solution must provide hybrid / multi-cloud platform integration to support variant solution instances within the PIC environment.				
5.1.3	The solution must provide connectivity to a range of DBMSs including relational, cloud data stores, and various types of data structure.				
5.1.4	The solution must provide Interfaces to common packaged applications via the standard application interfaces provided.				
5.1.5	The solution must provide packaged functionality that can connect to other third-party applications via open-standards APIs.				
5.1.6	The solution must provide ability to move data in bulk between data repositories, including tracking of any data transformation activities.				
5.1.7	The solution must provide built-in and custom integration, analytics, BI capabilities and processing capacity.				
5.1.8	The solution must provide support for machine learning, artificial intelligence, internet of things and big data capabilities				

5.1.9	The solution must provide user experiences that enable application owners to develop and manage integrations independent of the iPaaS service provider.				
5.1.10	The solution must provide for executing multiple integration scenarios, including real-time application integration and batch data integration.				
5.1.11	The solution must provide direct integration with multiple data feeds providers.				
5.1.12	The solution must have the capability to augment data integration process through metadata-based Artificial Intelligence and Machine Learning.				
5.1.13	The solution must have the capability to support business needs for identifying patterns in data contained within datasets including null values and allows inspection of mandatory and non-mandatory values.				
5.1.14	The solution must provide support for identification of outliers in datasets, i.e. Max/Min values, Max/Min lengths.				
5.1.15	The solution must provide support for identification of frequency distribution of values for individual columns, data types and formats and level of non-conformance to defined data types as well as anomalies.				
5.1.16	The solution must provide built-in capabilities for applying industry/local standards, business rules or knowledge base to modify data to specified				

	formats, values and layouts .e.g formatting of address information.				
5.1.17	The solution must provide support for creation and allocation of data quality rules at data element level, cross-column, table and multiple tables.				
5.1.18	The solution must provide support for both probabilistic and deterministic approaches to matching, linking and merging of records.				
5.1.19	The solution must provide for the creation of data quality rules libraries which can be exposed or integrated with other solutions, such as DW and operational databases.				
5.1.20	The solution must provide scheduling of automated inspections and monitoring based on predefined data quality rules.				
5.1.21	The solution must have the capability to support automated merging of records as well manual matching by data stewards.				
5.1.22	The solution must provide built-in capability to supply missing information such as South African postal codes, complete shortened street names for African countries at a minimum.				
5.1.23	The solution must provide support basic reports illustrating metadata statistics in graphical format, such as Null Counts versus populated, correct patterns versus outliers, etc at a field or record level.				
5.1.24	The solution must provide drill-through capability to individual records with anomalies from executed Data Quality Rules.				

5.1.25	The solution must have the capability to support one to one and one-many mapping of values for conversion from source to target system.				
5.1.26	The solution expected to support block chain, advanced OCR as a part of its future roadmap.				
	Non-Functional Requirements				
5.1.27	The solution must require a username and password in order to allow a user to access it.				
5.1.28	The solution must comply with PIC security password standards e.g. password should consist of – alphanumeric characters, minimum password length 11 characters and capability to expire and change password.				
5.1.29	Segregation of Duties – Ability to enforce separation of duties through defined user roles and rights.				
5.1.30	The solution must have the ability to restrict functionality by user or group of users, including the ability to restrict the export of data.				
5.1.31	The solution must be able to interface directly with Microsoft Active Directory				
5.1.32	All files uploaded by external sources are to be loaded to a quarantine area for malware scanning. This includes but is not limited to subjecting these files to scanning by PIC web application firewalls, IPS, endpoint security platforms and any other security controls.				
5.1.33	Solution must provide the use of multi-factor authentication capabilities.				
5.1.34	The solution must be fully auditable with an ability create and provide audit trails for all the				

	actions performed on the solution, with date and time stamp, changes, and the user making the change.				
5.1.35	Capability for the audit logs generated by the system/Platform to be able to integrate with Security Events and Information Management (SEIM) solutions.				
5.1.36	Logs stored in SIEM should be temper proof to satisfy requirement for chain of custody in the event of a digital forensics' investigation.				
5.1.37	The Bidder should be able to provide Security Certifications and independent security attestation reports such as (ISO 27001 certification, SOC 2 Reports) for the solution including hosting data centres and application system. Valid certifications and reports will be required annually for the duration of the contract				
5.1.38	The bidder should provide pen test reports for the hosting infrastructure and application before solution Go-live, and annually thereafter for the duration of the contract.				
5.1.39	Cloud solution to be hosted in jurisdiction that have the same Data protection laws or better than South Africa.				
5.1.40	Implement baseline configuration such as security patches and antivirus.				
5.1.41	Provide PIC with Rights to Audit security implementation for the solution				

5.1.42	The solution should have high availability configuration to reduce the risk of single point of failure.				
5.1.43	The Service Provider shall have in place an appropriate Disaster Recovery and Business Continuity Plan, for the Platform Services.				
5.1.44	Cloud exit strategy to prevent vendor lock-in. Service provider to assist PIC with cloud exit whenever that is required.				
5.1.45	Cloud solution to be hosted in jurisdiction that have the same Data protection laws or better than South Africa.				
5.1.46	Encryption - Solution must be able to provide adequate protection for Sensitive information in transit (e.g., between client and server) must be protected against unauthorised disclosure.				
5.1.47	The solution must be able to collect data about platform utilization, data integration operations that can be used for capacity planning and real-time process efficiency analytics among other purposes.				
5.1.48	The proposed solution must be intuitive with self-service capabilities.				
5.1.49	Task-automation-oriented UX for citizen integrators.				
5.1.50	The solution must be able to handle large volumes of data and/or files transfer during peak times.				
5.1.51	Users in different sites or location must have the same experience with the solution.				

5.1.52	Support to define and report key performance indicators (KPIs) and alert on events failing KPIs.				
5.1.53	End-to-end tracking of message delivery.				
5.1.54	The solution must cater for future growth, e.g. adding of new functions and or integration of new systems.				
5.1.55	Must support rapid provisioning of additional hardware assets and capacity.				
5.1.56	The Capacity Plan for the solution must include recommended upgrade points (number of concurrent users, number of daily transactions) and upgrade recommendations (servers, licenses needed).				
	Technical Requirements				
5.1.57	<i>The proposed solution is expected to allow for interoperability and integration into technologies running on following platforms, at a minimum:</i>				
5.1.57.1	Database Platform: Microsoft SQL Server, Oracle Solaris and and other non-proprietary database management systems.				
5.1.57.2	Server Platform: Windows Server 2012 R2 and later versions, Linux Servers.				
5.1.58	<i>The proposed solution is expected to operate on the following PIC client hardware including but not limited to:</i>				
	Client Desktop: running Windows 10 Enterprise or later versions.				

5.1.58.1	Mobile devices with the following but not limited to operating system: Apple iOS, Android and Windows.				
5.1.59	<i>The proposed solution is expected to run on a Database Management System (DBMS) that meets the following requirements:</i>				
5.1.59.1	High-Speed, High-Volume Processing with support for In-Memory data processing.				
5.1.59.2	Online backup and recovery with archiving and partitioning support.				
5.1.59.3	Relational DBMS with object-relational capabilities.				
5.1.59.4	Concurrency control to minimize or eliminate contention wait times.				
5.1.59.5	Provide encryption for database files and data at rest.				
5.1.59.6	Support for unstructured data and advanced datatypes such as XML and BLOB.				
5.1.59.7	Provision of scheduled database backups to the PIC environment.				
5.1.60	The solution must be operational on the following network standards:				
5.1.60.1	The solution must be operational on CISCO based LAN and MPLS				
5.1.61	The solution must meet the following Application management requirements:				

5.1.61.1	Support scripting languages (e.g., VB Script, JavaScript, PowerShell, Python and Perl etc.).				
5.1.61.2	Support Service-Oriented Architecture (SOA) and Web services with international (non-proprietary) integration standards.				
5.1.62.3	Provide development tools, programming languages and APIs that enable PIC to develop and customise/enhance.				
5.1.62.4	Integrate with Microsoft Office 2016 at a minimum and Office 365 with Exchange Online and Sharepoint Online.				
5.1.62.5	<p>The solution must support the following browsers</p> <ul style="list-style-type: none"> • IE 11 or higher • Chrome • Microsoft EdgeSafari 				
5.1.62.6	<p>The solution is expected to be cloud hosted with a user-friendly portal for PIC IT to conduct the following:</p> <ul style="list-style-type: none"> • User Management (including review of Administrative actives). • License Management / Overview. • Monitoring of overall health of all components. • Application maintenance (e.g. bug fixes, patches or upgrades). • Incident Management. 				

	• Implementation and configuration of new integration points.				
5.1.62.7	The solution is expected to provide AI services such as RPA and Machine learning technologies.				
5.1.63	The solution is expected to align with PIC cloud computing policies, standards and procedures.				
5.1.64	The solution is expected to provide online Administrator help (e.g. Videos, tutorials, technical documents).				

5.2 Minimum Requirements

The bidder must comply with the following **minimum requirements** to respond to this RFP. Bidders who are **NOT compliant** will be **disqualified**.

- 5.2.1. The bidder **MUST** have a minimum of **Five (5)** years' experience implementing or supporting Integration Platform As a Service Solutions (iPaaS) or On-premise Intergration services.
- 5.2.2. The bidder is expected to provide at **least 4 (four) contactable client references of companies** where iPaaS (Integration Platform As a Service) or on-premise intergration services have been successfully implemented or supported within the last **5 (five)** years.

6 PROJECT MANAGEMENT SERVICES

The Bidder(s) must to provide Project Management Services for the full implementation of the solution. Bidder(s) must include the project management approach and plan detailing all the deliverables to delivered to the PIC during the implementation of the integration Platform as a Service solution. The bidder(s) project management

methodology must be detailed to convey to the PIC that the bidder(s) is capable to deliver the proposed solution on time and on budget. The methodology must indicate clear stage gates which require approval and signoff, triggering payments on completion of key milestones.

The following deliverables are required in the bidder's response:

6.1. Detailed Project Management plan with milestones and estimated timeliness;

6.2. Project Deliverables: Project artefacts (Architecture, Functional, Technical, Testing, Operational Manuals and Training Materials);

In addition, the bidder(s) must provide the following services as part of the integration Platform as a Service solution:

6.3. Training and Hand-over: The bidder(s) is expected to conduct proper handover at every stage of the project together with the necessary documentation as defined by the PIC to the winning bidder(s);

- The bidder(s) is expected to provide training to PIC staff members together with training manuals for all enabled solution capabilities/functionality;
- The training must include the following:
 - IT personnel training;
 - Super User training;
 - Technical Support training; and
- The signoff certificates with regard to training will be required from the bidder(s).

6.4. **Change Management:** As part of this project the bidder(s) is expected to conduct change management activities. This is intended to drive and support end-user transitioning from the old intergration servoces and processes to the integration Platform as a Service solution.

7 CLIENT REFERENCES

The PIC may use the references provided as a basis for which client sites will be visited and/or call the provided references for reference check purposes. For shortlisted bidder(s), the PIC may require assistance to arrange site visits and/or calls to the references. References details must include the following:

- 7.1. The name of the entity, contact person, designation of contact, contact number, contract value and date; and
- 7.2. Reference letter or list from the client confirming Integration Platform As a Service solution services rendered, should both have details mentioned above

8 PROJECT TEAM EXPERIENCE

Bidder(s) must provide a summary of the company's staff complement and CV details/experience of the team to be assigned to this project.

- Experience of the core project team to be involved in the implementation of the project and years of experience must have a minimum of 10 years combined (Excluding the IT technical lead);
- IT Technical Lead must have a minimum of 8 years' experience offering Integration Platform As a Service solution and On-premise enterprise Intergration services (e.g. Enterprise service Bus) and other IT related services.
- CV of Project Manager with experience of implementing similar solutions;
- CV for all the team members assigned to the project must be provided;
- The IT Technical lead response must include a table with Client, Project Implemented, Project Start and End Dates, Client Contact Details.

NB: The bidder(s) must have additional resources with similar experience as technical lead to cover when one resource is not available in order to reduce key man dependency risk;

9 SERVICE MANAGEMENT

The Bidder is expected to provide Service Level Agreements for Support and Maintenance for a period of 5 years stipulating and inclusive of the following:

- **Premium support should include the following:**
 - **99.9% Availability of the Solution**
 - **Service Levels:** Service Priority Levels and associated Turnaround times as follows:

Priority/ Severity	Description	Response Around Time	Turn Resolution Around Time
1	Critical business impact Issue critical, which results to PIC not being able to perform analytics functions, resulting in critical impact to PIC business operations.	Within 30 Minutes	Within 4 business hours
2	Significant business impact Issue is significant to PIC analytics functions. Rapid	Within 30 Minutes	Within 6 business hours

	resolution should be provided.		
3	Limited business impact Issue has an acceptable workaround not impacting significant or critical functions of business operations.	Within 1 hour	Within 10 business hours

Table 1: Service management

- Relationship Management Activities;
- Services credit methodology in case of a Service Level Breach;
- Sample service level reporting.

10 EVALUATION CRITERIA AND METHODOLOGY

The evaluation criteria will be based on the following requirements:

- **Phase 2:** Minimum Requirements
- **Phase 1:** Compliance to administrative requirements
- **Phase 3:** Integration Platform As a Service solution Requirements (100 points).

Bidder, who scores below 70 points, will not go through to the next level of evaluations. **Presentations** and site visits may form part of the evaluation. (Bidder who scores 70 or more points out of 100 points allocated at technical evaluation may be subjected to site visits and further evaluated on price and B-BBEE upon confirmation of infrastructure during site visits).

Price and BEE Evaluations (80/20 points).

- **Phase 4: Pricing Proposal**

Bidder(s) who fails to comply with phase 1 and 2 requirements will not proceed to the next phases.

11 ADMINISTRATIVE REQUIREMENTS

The Bidder will proceed to the next stage when they comply with the requirements stated herein below:

Requirement	Appendix Number	Tick if included ✓
A valid and original Tax Clearance Certificate/Valid Tax Pin Number.		
BBBEE status level certificate –Accredited by SANAS (If no BEE certificate is submitted/or BEE certificate submitted is not valid, no points will be allocated for BEE). EME's and QSE's –sworn Affidavit		
Signed and completed declaration of interest document		
Signed and completed SBD 1 – Invitation to Bid document		
Signed and completed Company Information document		
Audited or independently reviewed financial statements (Management account) not older than 18 months.		
Completed and signed Company Information document and submission of all the required documentation as stipulated in the company profile document		

Acceptance of the conditions as stipulated in the bid document		
All documents should be clearly indexed,		
Requirement	Appendix Number	Tick if included
Proposal to be submitted electronically to tenders@pic.gov.za		✓
The CSD (Central Supplier Database) is a single source of all supplier information for all spheres of government and all suppliers engaging with the PIC should be registered on the CSD. Kindly enclose your CSD Document.		

12 TECHNICAL / FUNCTIONAL SCORING CRITERIA

With regards to technicality / functionality, the following criteria shall be applicable, and the maximum points of each criterion are indicated in the table below:

Technical / Functional Criteria	Weightings
<p>12.1 Service Management</p> <p>Bidder must:</p> <p>Propose SLAs inclusive of the following as per section 9:</p> <ul style="list-style-type: none"> - Premium support inclusive but not limited to the following: <ul style="list-style-type: none"> ○ 99.9% Availability of the Solution ○ Service Priority Levels and associated Turnaround times as per section 9. - Relationship Management Activities - Services credit methodology in case of a Service Level Breach; and <p>Sample service level reporting</p>	<p>15</p>

Technical / Functional Criteria	Weightings
<p>12.2 Project Management</p> <p>Elements: Bidder(s) must include an adequate and clear project management (inclusive of implementation, change management and data migration and) of Integration Platform As a Service solution project at the PIC.</p> <p>The proposed project management plan must include but not limited to the following details:</p> <ul style="list-style-type: none"> • Project Methodology (including Programme Management & Governance, Change Management and Risk Management) • Implementation Plan (including migration) • Post Implementation - stabilisation, service delivery and support (including managed services life cycle) 	<p>15</p>

Technical / Functional Criteria	Weightings												
<p>12.3 Compliance with Integration Platform As a Service solution scope Bidder must illustrate current capability and capacity to meet PIC Integration Platform As a Service solution Business requirements (refer to Sections 5: Integration Platform As a Service Solution); plus, Bidder must illustrate their future growth plans (including product roadmap):</p> <table border="1" data-bbox="209 786 1217 1189"> <thead> <tr> <th data-bbox="209 786 703 882">Integration Platform As a Service solution Scope Item</th> <th data-bbox="707 786 836 882">Weight</th> <th data-bbox="839 786 1217 882">Section in Proposal</th> </tr> </thead> <tbody> <tr> <td data-bbox="209 887 703 987">Business Requirements (See sections 5)</td> <td data-bbox="707 887 836 987">50</td> <td data-bbox="839 887 1217 987"></td> </tr> <tr> <td data-bbox="209 992 703 1093">Project Team Experience (See section 8)</td> <td data-bbox="707 992 836 1093">10</td> <td data-bbox="839 992 1217 1093"></td> </tr> <tr> <td data-bbox="209 1097 703 1189">Technical Lead (See section 8)</td> <td data-bbox="707 1097 836 1189">10</td> <td data-bbox="839 1097 1217 1189"></td> </tr> </tbody> </table> <p>The Bidder must provide the above table as an attachment to the RFP response to the PIC Integration Platform As a Service solution requirements..</p>	Integration Platform As a Service solution Scope Item	Weight	Section in Proposal	Business Requirements (See sections 5)	50		Project Team Experience (See section 8)	10		Technical Lead (See section 8)	10		<p style="text-align: center;">70</p>
Integration Platform As a Service solution Scope Item	Weight	Section in Proposal											
Business Requirements (See sections 5)	50												
Project Team Experience (See section 8)	10												
Technical Lead (See section 8)	10												

13 PRICING PROPOSAL

Bidders are required to submit a proposal for all services outlined in the Scope of work. The costs for the Bidder's proposal should be submitted in a separate document in line with the Scope of Work identified. It is the responsibility of the Bidder to ensure the accuracy of the pricing provided as part of the response.

Costs should include the complete, fixed costs (if not fixed please indicate and provide details) for the services requested, including but not limited to the following:

All costs should be completely reflected on the pricing proposal.

When completing the Pricing Bidder must take note of the following:

- All pricing (including services, resources, hourly rates charged etc.) to be quoted in South African Rand including VAT. Pricing should be in alignment with the National Treasury.
- Bidders to incorporate pricing assumptions which will include any:
 - Forex; and
 - Price fluctuations.
- Pricing must show clearly the once off implementation cost and ongoing maintenance cost.
- Disbursements will be discussed and agreed during contract negotiations in line with the PFMA and/or National Treasury Regulations.

Pricing should follow this format considering the outlined deliverables specified in the tender document. (Year 1-5)

Integration Platform As a Service	Once-Off Costs (e.g. Transitioning and Implementation services)	Monthly Fee	Sub-Totals	Explain Basis for Total Monthly Fee / Comments
Annual Fees (e.g. Licensing / Subscription Fees)				
Monthly Maintenance & Support				
Other (add a row for each category)				
TOTALS:				

14 PROPOSED RESPONSES FORMAT

For the purpose of ease in evaluating the **Functionality of bids**, Bidder are required to present their bid documentation under the following headings:

Reference - Bidder(s)On Response)	Title	Guideline
Section 1	Cover letter	Brief company background, services and expertise, contact name and details of delegate authorized to make representations for the organization.
Section 2	Understanding of the PIC Requirements	Outline your understanding of the PIC Request for Proposal
Section 3	Scope of Work	Respond and cover all items presented for Integration Platform As a Service solution.
Section 4	Bidder Experience	Provide summary of the company's experience in the nature of the services required and staff compliment and CV details/experience of the team to be assigned to this project.
Section 5	Project Management Services	Respond and cover on how the project will be approached and planned.
Section 6	Client References	Provide a summary of client references
Section 7	Service Management	Should cover the proposed SLA, support and maintenance plan for a period of 5 years
Section 8	Pricing Proposal	Cover all costs in detail as per pricing proposal details

Phase 3: PRICE AND BEE EVALUATION

All Bidder to submit their pricing as per schedule below-

- (a) Annual increases must not exceed CPI related to the specific year;
- (b) A maximum of 80 points is allocated for price on the following basis:

Where

P = Points scored for price of bid under consideration

Pt. = Rand value of bid under consideration

Pmin = Rand value of lowest acceptable bid

Points will also be awarded based to a bidder for attaining their B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points /20
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non- compliant Contributor	0

List of Shareholders

Name	ID No	SA Citizen	Race	Gender	Shareholding %

- 15.7 Points scored will be rounded off to the nearest two decimal places.
- 15.8 The Bidder who scored the highest point will be awarded the bid.
- 15.9 In the event where two or more Bidder scored equal points, the successful bidder must be the one scoring the highest preference points for BBBEE.
- 15.10 However, when functionality is part of the evaluation process and two or more Bidder have scored equal points including equal preference points for BBBEE, the successful bidder must be the one scoring the highest for functionality.
- 15.11 Should two or more Bidder be equal in all respects; the award shall be decided by the drawing of lots.

15 **CONDITIONS**

16.1 Joint Ventures / Consortiums

16.1.1 The following information and documentation must be submitted:

16.1.1.1 All information stipulated in paragraph 10 under minimum and administrative requirements must be submitted by all parties involved in the Joint Ventures/Consortiums, including ownership and executive management information.

16.1.1.2 A percentage breakdown of the work allocation between the parties must be clearly indicated.

16.1.1.3 A formal signed agreement indicating the leading company as well as the other company roles and responsibilities must be submitted.

16.1.1.4 A skills transfer plan between the parties must be submitted.

16.2 Non-Commitment

16.2.1 The PIC reserves the right to withdraw or amend these terms of reference by notice in writing to all parties who have received the terms of reference prior to the closing date.

16.2.2 The cost of preparing of bids will not be reimbursed.

16.3 Reasons for rejection

16.3.1 The PIC reserves the right to reject bids that are not according to specification/Terms of Reference. Bidder must clearly indicate compliance or non-compliance with specification/Terms of Reference.

- 16.3.2 Bidder shall not contact the PIC on any matter pertaining to their bid from the time the bids are closed to the time the bid has been adjudicated. Any effort by a bidder to influence the bid evaluation, bid comparisons or bid award decisions in any matter, may result in rejection of the bid concerned.
- 16.3.3 The PIC shall reject a submission if the Bidder has committed a proven corrupt or fraudulent act in competing for a particular contract.
- 16.3.4 The PIC may disregard any submission if that Bidder, or any of its directors -
- 16.3.3.1 have abused the Supply Chain Management (SCM) system of any Government Department/ institution;
 - 16.3.3.2 have committed proven fraud or any other improper conduct in relation to such system;
 - 16.3.3.3 have failed to perform on any previous contract and the proof thereof exists; and/or
 - 16.3.3.4 Is restricted from doing business with the public sector if such a bidder obtained preferences fraudulently or if such bidder failed to perform on a contract based on the specific goals.

16.4 Cancellation of Bid

- 16.4.1 The PIC may prior to the award of a bid, cancel a bid for the following reasons -
- 16.4.1.1 due to changed circumstances, there is no longer a need for the goods or services requested;
 - 16.4.1.2 funds are no longer available to cover the total envisaged expenditure;
 - 16.4.1.3 no acceptable bids are received
 - 16.4.1.4 unsuccessful contract negotiations
- 16.4.2 The PIC may after award of the tender but before conclusion of a contract, cancel a bid for the following reasons-

16.4.2.1 due to change of circumstances, there is no longer a need for the goods or services requested;

16.4.2.2 funds are no longer available to cover the total envisaged expenditure.

16.5 Clarifications

Any clarification required by a bidder regarding the meaning or interpretation of the document, or any other aspect concerning the submission, is to be requested in writing e-mail to tenders@pic.gov.za .

16.6 Receipt of Bids

Each bid shall be in writing using non-erasable ink and shall be submitted on the official document of Bid issued with the bid documents. The bid shall be submitted in a separate sealed envelope with the name and address of the bidder, the bid number and title, the bid box number (where applicable), and the closing date indicated on the envelope. The envelope shall not contain documents relating to any bid other than that shown on the envelope.

The onus shall be on the bidder to place the sealed envelope in the official marked locked bid box provided for this purpose, at the designated venue, not later than the closing date and time specified in the bid notice.

Postal bids will be accepted for consideration only if they are received in sufficient time to be lodged in the appropriate bid box by the closing time for such bids, it being understood that PIC disclaims any responsibility for ensuring that such bids are in fact lodged in the bid box. Proof of posting of a bid will not be accepted as proof of delivery to the appropriate place for the receipt of bids. Documents submitted on time by Bidder shall not be returned and shall remain the property of the PIC.

16.7 Late Bids

Electronic bids received late shall not be considered. A bid will be considered late if only received one second after 11h00 or any time thereafter. Bids received late shall be not be opened. Bidder are therefore strongly advised to ensure that bids be despatched allowing enough time for any unforeseen events that may delay the delivery of the bid.

16.8 Presentations

The PIC may require presentations and/or site visits at a stipulated date and time from short-listed Bidder as part of the bid process.

16.9 Service Level Agreement (SLA)

16.9.1 The SLA will set out the administration processes, service levels and timelines.

16.9.2 The award of a tender shall always be subject too successful negotiation and conclusion of Master Agreement and SLA. There will be no binding agreement between the parties if a contract has not been concluded. PIC reserves the right to cancel the award.

16.10 Contracting

Bidder are advised that a valid contract will only come into existence between the PIC and the successful bidder after conclusion of successful negotiations and signature of the Contract by both parties' respective delegated authorities.

See **ANNEXURE B** for Contracting terms and conditions.

17 PART A SBD 1

INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE PUBLIC INVESTMENT CORPORATION					
BID NUMBER:	PIC016/2020	CLOSING DATE:	15 December 2020	CLOSING TIME:	11:00 AM
DESCRIPTION	APPOINTMENT OF A SUITABLY QUALIFIED BIDDER FOR THE IMPLEMENTATION OF AN INTEGRATION PLATFORM AS A SERVICE SOLUTION FOR A PERIOD OF FIVE (5) YEARS				
BID RESPONSE DOCUMENTS MUST BE SENT TO THE BELOW EMAIL:					
tenders@pic.gov.za					
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO			TECHNICAL ENQUIRIES MAY BE DIRECTED TO:		
CONTACT PERSON			CONTACT PERSON		
TELEPHONE NUMBER			TELEPHONE NUMBER		
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS			E-MAIL ADDRESS		
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	[TICK APPLICABLE BOX]		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX]	

	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--	--	---

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]

ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3]
---	--	--	---

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE A BRANCH IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	<input type="checkbox"/> YES <input type="checkbox"/> NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B

TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED – (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO SIGN A SERVICE LEVEL AGREEMENT.**

2. TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDER MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDER ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDER MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.



PUBLIC INVESTMENT
CORPORATION®
Est. 1911

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE:

18 DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or
- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative:

.....

2.2 Identity Number:

.....

2.3 Position occupied in the Company (director, trustee, shareholder²):

.....

2.4 Company Registration Number:

.....

2.5 Tax Reference Number:

.....

2.6 VAT Registration Number:

.....

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

¹ "State" means –

- a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- b) any municipality or municipal entity;
- c) provincial legislature;
- d) national Assembly or the national Council of provinces; or
- e) Parliament.

"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder? **YES/NO**

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member:

.....

Name of state institution at which you or the person connected to the bidder is employed:

.....

Position occupied in the state institution:

Any other particulars:

.....

.....

.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? **YES / NO**

2.7.2.1 If yes, did you attach proof of such authority to the bid document? **YES / NO**

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.1 If no, furnish reasons for non-submission of such proof:

.....
.....
.....

2.8 Did you or your spouse, or any of the company's directors / trustees / **YES / NO**
shareholders / members or their spouses conduct business with the state
in the previous twelve months?

2.8.1 If so, furnish particulars:

.....
.....
.....

2.9 Do you, or any person connected with the bidder, have any relationship **YES / NO**
(family, friend, other) with a person employed by the state and who may be
involved with the evaluation and or adjudication of this bid?

2.9.1 If so, furnish particulars:

.....
.....
.....

2.10 Are you, or any person connected with the bidder, aware of any relationship **YES/NO**
(family, friend, other) between any other bidder and any person employed
by the state/PIC who may be involved with the evaluation and or
adjudication of this bid?

2.10.1 If so, furnish particulars:

.....
.....
.....

2.11 Do you or any of the directors / trustees / shareholders / members of the **YES/NO**
company have any interest in any other related companies whether they
are bidding for this contract?

2.11.1 If so, furnish particulars:

.....

3. Full details of directors / trustees / members / shareholders.

Full Name	Identity Number	Personal Reference Number	Tax	State Number	Employee / Personal Number

DECLARATION

I, THE UNDERSIGNED
 (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE PIC MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.



.....
Signature

.....
Date

.....
Position

.....
Name of bidder

19 COMPANY INFORMATION

Please complete the following questionnaire:

1. Company Name:

2. Other Trading Names:

3. Type of Organization: (Public Company ('Limited'), Private Company ('(Pty) Ltd'), Close Corporations ('cc'))

4. Physical and Postal Address of the Company:

Postal Code:	Postal Code:

5. Contact Details

Contact Name	
Contact Number	
Cell Number	
Email Address	

Alternative Contact	
Email Address	
Contact Number	

6. Company Information

Average no. of employees:	
Average annual turnover:	
Type of Enterprise: (e.g. Generic, qualifying small enterprise, Exempted Micro Enterprise)	
Industry in which the entity operates:	

7. Banking Details

Banker:	
Auditor:	
Year of Establishment:	
Registration number of entity:	
Sector:	

***A letter from your bank with a bank stamp or cancelled cheque must be submitted.**

8. Tax Registration Details:

Income Tax Reference Number:	
VAT Registration Number:	
PAYE Registration Number:	

9. List of Shareholders:

***ID Documents of the Board of directors/members, owners, shareholders or executive committee must be submitted.**

*** CIPC Documents must be attached.**

10. B-BBEE (Broad-based Black Economic Empowerment) Status Details:

Please tick the relevant box(es):

STATUS	INDICATION
<p>The company has been independently verified (assessed / rated / certified)</p> <p><i>Please submit the B-BBEE verification certificate.</i></p>	<input data-bbox="1023 692 1126 792" type="checkbox"/>
<p>The company is in the process of being verified. Please submit a letter from verification agency. (i.e. verification to be completed within a maximum of 2 months)</p>	<input data-bbox="1023 896 1126 996" type="checkbox"/>

20 DECLARATION

Bidder Name: _____

Signature: _____

Designation: _____

I declare that:

- All information provided is true and correct
- The signatory of the bid document is duly authorized
- Documentary proof regarding any bid issue, will, when required be submitted to the satisfaction of the PIC
PIC will upon detecting that:
 - The BBBEE status level of contribution has been claimed or obtained on a fraudulent basis;
 - Any of the conditions have not been fulfilled act against the bidder.

I understand that:

PIC may:

- Disqualify the bidder from the bidding process;
- Recover all costs, losses or damages it has incurred or suffered as a result of the bidder's conduct;
- Cancel the contract and claim any damages which has suffered as a result of having less favorable arrangements due to cancellation;
- Restrict the bidder, its shareholders and directors or only shareholders and directors who acted on fraudulent basis, from obtaining business from any organ or state for a period not exceeding 10 years after audi alteram partem (hear the other side) rule has been applied; and
- Forward the matter for criminal prosecution



Thus signed and accepted on this _____^{st/nd/rd/th} day of _____ ,
20____ at _____:

Who warrants his / her authority hereto

For and on behalf of:



ANNEXURE A

CONFIDENTIALITY AND NON-DISCLOSURE AGREEMENT

Between

PUBLIC INVESTMENT CORPORATION SOC LIMITED

(Registration Number 2005/009094/06)

(“PIC”)

AND

(Identity Number / Registration Number: _____)

(Hereinafter referred to as the parties.)

Introduction

1. The parties wish to record the terms and conditions upon which each shall disclose confidential information to the other, which terms and conditions shall constitute a binding and enforceable agreement between the parties and their agents.
2. This agreement shall also bind the parties, notwithstanding the date of signature hereof, in the event that either party shall have disclosed any confidential information to the other party prior to date of signature hereof.
3. For the purposes of this agreement the party which discloses confidential information shall be referred to as “the disclosing party” and the party which receives the confidential information shall be referred to as “the receiving party”.

The Confidential Information

4. "Confidential Information" shall, for the purpose of this agreement include, without limitation, any technical, commercial or scientific information, know-how, trade secrets, processes, machinery, designs, drawings, technical specifications, terms of agreements, details of investment strategies, organisational strategies or structure of either party, products or services offered by either party or any other matter which relates to the business of either party in respect of which information is not readily available in the normal course of business which may come to the knowledge of the other party in whatever form, disclosed to or assessed by either party during the course of his relationship with the other party.

Disclosure of confidential information

5. The disclosing party shall only disclose the confidential information to the receiving party to the extent deemed necessary or desirable by the disclosing party in its discretion.
6. The receiving party acknowledges that the confidential information is a valuable, special and unique proprietary asset to the disclosing party.
7. The receiving party agrees that it will not, during or after the course of their relationship and/or the term of this agreement as described in Clause 17, disclose the information to any third party for any reason or purpose whatsoever without the prior written consent of the disclosing party, save in accordance

with the provisions of this agreement. In this agreement “third party” means any party other than the parties.

8. Notwithstanding anything to the contrary contained in this agreement the parties agree that the confidential information may be disclosed by the receiving party to other related parties on a need-to-know basis; provided that that party takes whatever steps are necessary to procure that such other related parties agree to abide by the terms of this agreement to prevent the unauthorised disclosure of the confidential information to third parties. For purposes of this clause, the receiving party’s other related parties and employees, directors or managers shall be deemed to be acting, in the event of a breach, as that party’s duly authorised agents.

9. The receiving party agrees:

9.1 not to utilise, exploit or in any other manner whatsoever use the confidential information disclosed pursuant to the provisions of this agreement for any purpose whatsoever without the prior written consent of the disclosing party;

9.2 that the unauthorized disclosure of the confidential information to a third party may cause irreparable loss, harm and damage to the disclosing party. Accordingly, the receiving party indemnifies and holds the disclosing party harmless against any loss, claim, harm or damage, of whatever nature, suffered or sustained by the disclosing party pursuant to a breach by the receiving party of the provisions of this agreement.

Title

10. All confidential information disclosed by the disclosing party to the receiving party is acknowledged by the receiving party:

10.1 to be proprietary to the disclosing party; and

10.2 not to confer any rights to the receiving party of whatever nature in the confidential information.

Restrictions on disclosure and use of the confidential information

11. The receiving party undertakes not to use the confidential information for any purpose other than:

11.1 that for which it is disclosed; and

11.2 in accordance with the provisions of this agreement.

Standard of care

12. The receiving party agrees that it shall protect the confidential information disclosed pursuant to the provisions of this agreement using the same standard of care that the receiving party applies to safeguard its own proprietary, secret or confidential information and that the information shall be stored and handled in such a way as to prevent any unauthorised disclosure thereof.

Return of material containing or pertaining to the confidential information

13. The disclosing party may, at any time, request the receiving party to return any material containing, pertaining to or relating to confidential information disclosed pursuant to the terms of this agreement and may, in addition request the receiving party to furnish a written statement to the effect that, upon such return, the receiving party has not retained in its possession, or under its control, either directly or indirectly, any such material.

14. As an alternative to the return of the material contemplated in clause 13 above, the receiving party shall, at the instance of the disclosing party, destroy such material and furnish the disclosing party with a written statement to the effect that all such material has been destroyed. Notwithstanding the aforesaid, the receiving party will be entitled to retain such documents as they are reasonably required to retain in order to fulfil their professional obligation with regard to document retention, imposed on them by the professional body of which they are a member.

15. The receiving party shall comply with a request in terms of this clause, within 7 (seven) days of receipt of such a request.

Excluded confidential information

16. The obligations of the receiving party pursuant to the provisions of this agreement shall not apply to any confidential information that:

16.1 is known to, or in the possession of the receiving party prior to disclosure thereof by the disclosing party;

16.2 is or becomes publicly known, otherwise than as a result of a breach of this agreement by the receiving party;

- 16.3 is developed independently of the disclosing party by the receiving party in circumstances that do not amount to a breach of the provisions of this agreement;
- 16.4 is disclosed by the receiving party to satisfy an order of a court of competent jurisdiction or to comply with the provisions of any law or regulation in force from time to time; provided that in these circumstances, the receiving party shall advise the disclosing party to take whatever steps it deems necessary to protect its interests in this regard and provided further that the receiving party will disclose only that portion of the information which it is legally required to disclose and the receiving party will use its reasonable endeavours to protect the confidentiality of such information to the greatest extent possible in the circumstances;
- 16.5 is disclosed to a third party pursuant to the prior written authorisation of the disclosing party;
- 16.6 is received from a third party in circumstances that do not result in a breach of the provisions of this agreement.

Term

17. Subject to clause 2 this agreement shall commence upon the date of signature of the last signing party hereto ("the effective date") and shall endure for a period of 12 (twelve) months ("the term") thereafter, or for a period of one year from the date of the last disclosure of confidential information to the receiving party, whichever is the longer period, whether or not the parties continue to have any relationship for that period of time. In the event that the parties extend the term by mutual and written agreement, then the provisions hereof shall endure for a further minimum period of 12 (twelve) months mutatis mutandis.

No Solicit

18. Both parties agree that they will not solicit, interfere with, or entice or endeavour to solicit, interfere with or entice away from the other party, any employee or consultant of the other party, or of either parties consultant(s) or sub-contractor, for the duration of this agreement.

Additional Action

19. Each party to this agreement shall execute and deliver such other documents and do such other acts and things as may be necessary or desirable to give effect to the terms and provisions of this agreement.

Breach

20. In the event that the receiving party should breach the provisions of this agreement and fail to remedy such breach within 7 (seven) days from date of a written notice to do so, then the disclosing party shall be entitled to invoke all remedies available to it in law including the institution of urgent interim proceedings and/or an action for damages.

Amendments

21. No amendment, interpretation or waiver of any of the provisions of this agreement shall be effective unless reduced in writing and signed by both parties.

Enforcement

22. The failure by the disclosing party to enforce or to require the performance at any time of any of the provisions of this agreement shall not be construed to be a waiver of such provision, and shall not affect either the validity of this agreement or any part hereof or the right of the disclosing party to enforce the provisions of this agreement.

Headings

23. The headings of the clauses of this agreement are used for convenience only and shall not affect the meaning or construction of the contents of this agreement.

Representations & Warranties

24. Each party represents that it has authority to enter into this agreement and to do all things necessary to procure the fulfilment of its obligations in terms of this agreement.

Entire agreement

25. This agreement contains the entire agreement of the parties with respect to the subject matter of this agreement and supersedes all prior agreements between the parties, whether written or oral, with respect to the subject matter of this agreement.

Governing law

26. This agreement and the relationship of the parties in connection with the subject matter of this agreement and each other shall be governed and determined in accordance with the laws of the Republic of South Africa.

Submission

27. The parties hereby submit to the non-exclusive jurisdiction of the Northern - Gauteng High Court.

Domicile (Physical Address)

28. Any written notice in connection with this agreement may be addressed:

29.1 in the case of PIC to

MENLYN MAINE CENTRAL SQUARE

CORNER ARAMIST AVENUE & COROBAY AVENUE

WATERKLOOF GLEN EXTENSION 2

0181

and shall be marked for the attention of.....;

29.2 in the case of _____ to

and shall be marked for the attention of _____.

30. A party may change that party's address, by prior notice in writing to the other party.

31. If any notice is to be sent by mail, it shall be sent by prepaid registered mail and shall then be deemed until and unless the contrary is proved, to have been received 10 (ten) days after the date of posting.
32. If any notice is sent by telefax, it will be deemed, until and unless the contrary is proved, to have been received on the date recorded on the transmission slip.
33. If any notice is delivered by hand, it will be deemed to have been received on proof of the date of delivery.

Severability

34. In the event of any one or more of the provisions of this agreement being held for any reason to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect any other provision of this agreement, and this agreement shall be construed as if such invalid, illegal or unenforceable provision was not a part of this agreement, and the agreement shall be carried out as nearly as possible in accordance with its original terms and intent.

Signed at on this the day of 2020

Witness signature.

Signature

Duly authorised representative of

Public Investment Corporation SOC Limited

Print name.

Print Name.

Date.

Date.

Signed at on this the day of 2020

Witness signature.

Duly authorised representative of



Print name.

Date.

Print Name.

Date.

ANNEXURE B

Contracting terms and conditions

- Bidder are advised that a valid contract will only come into existence between the PIC and the successful bidder after conclusion of successful negotiations and signature of the Contract by both parties' respective delegated authorities.

Key contractual principles that successful Bidder must note for the final contract are as follows:

- Duration

Contracts will be for a fixed period. There will be no auto-renewals renewals.

- Limitation of Liability

The limitation of liability is subject to negotiation and will be informed by the contract value and risk associated with the contract.

Ownership of Data

The PIC shall retain ownership of the Data and all Intellectual Property Rights in and to all the Data.

Termination of Convenience

PIC requires a clause addressing termination of convenience

Governing Law

The PIC preferred Governing Law of the Contract between the parties is the law of the Republic of South Africa. In the event that the parties cannot agree on South African law, the PIC will accept the law of England.

Warranty

The Successful Bidder warrants that it:

- is authorised to enter into an Agreement and able to perform each of its duties in terms of the Agreement;
- is suitably qualified to provide the Services;
- is registered with the relevant industry body and its employees have the required certification and licences; and
- has public liability insurance cover commensurate with the risks to which it is exposed for the Term of the Agreement. Documentary proof of such insurance cover is to be provided to on or before the Date of Signature.

The Bidder shall provide the Services:

- with due care and skill;
- in accordance with the terms and conditions of this Agreement; and
- in compliance with all applicable laws and regulations.

The Bidder further warrants and guarantees that:

- the Services shall be rendered and executed in a professional manner in accordance with the standards agreed between the Parties and expected in the relevant industry; and
- the personnel tasked with rendering the Services have completed the requisite formal training and have the expertise to execute their functions properly, regarding but not limited to:
- the execution of their Services, having regard for the legal aspects thereof;

Data Storage

The Successful Bidder must disclose where the data is stored. PIC requires data to be stored in the Republic of South Africa or an EU jurisdiction.

Exit Management

If this Agreement is terminated in whole or in part for any reason whatsoever the provisions of the exit management plan agreed (if any) between the Parties shall come into effect and in any event, including where no agreed exit management plan exists, the Supplier shall co-operate fully with the PIC to ensure an orderly migration of the Services to the PIC or, at the PIC's request, a new supplier (an **Orderly Migration**). Without limiting the foregoing, the PIC shall be entitled to require the Supplier to continue to provide the Services for up to **[6 (six)]** months after the effective date of the termination of this Agreement on the same payment terms if, in the opinion of the PIC, such continuation is required in order to allow for an Orderly Migration. Co-operation by the Supplier shall include (without limitation), at the PIC's election, the provision by the Supplier of such personnel, equipment, resources, software, documentation, training and consultancy as may reasonably be required to enable an Orderly Migration and the return of the PIC's data in the manner, timeframes and a form and format specified by the PIC.