

06 February 2019

The Public Investment Corporation (PIC) invests funds on behalf of public sector entities including the Government Employees Pension Fund. The organisation is the largest investment manager in the country and the continent. Applications are invited from dynamic individuals for the following challenging position:

Position: Senior Compliance Officer

Job Grade: D3 – D5

(Ref: SCPO001)

Salary: All-inclusive remuneration package negotiable

Centre: Risk, Pretoria

Requirements:

- Degree in Commerce/Law
- Post graduate qualification in Commerce/ Law is preferred
- FSCA's FAIS Compliance Officer is an added advantage
- At least 4 – 6 years relevant experience as a Compliance Officer in the Financial Sector preferably in the investment management industry and unlisted investment
- Registered Persons Exams (RPE) certificates for JSE Compliance Officers is an added advantage

Duties:

- To monitor compliance with relevant legislations as well as internal policies and procedures
- To compile compliance monitoring plans and compliance risk management plans (CRMPs) based on relevant legislative requirements;
- To conduct compliance audits and draft detailed compliance reports for submission to relevant internal committees;
- Perform daily pre and post trade monitoring of PIC's holdings in listed equity stocks and report to the regulators and market;
- To compile and submit regulatory reports to FSCA (e.g. FAIS bi-annual compliance report);
- Ensure the development, maintenance and review of relevant PIC policies;
- To perform various functions as required by the AML/CFT regulatory requirements (FICA, POCA, POCDATARA);

- To monitor compliance with CIS co-naming/ white label arrangements and related CISCA compliance obligations;
- Assist with the identification and interpretation of applicable compliance requirements;
- Provide training to staff on various legislative requirements;
- Advise business on how to appropriately and effectively manage compliance and reputational risk in the organization;

Competencies:

- Audit techniques
- Excellent communication skills, both verbal and written
- Strong analytical skills
- Strong presentation skills
- Knowledge of and experience in asset classes (financial instruments) relevant to PIC
- Knowledge of and experience in legislation relevant to PIC (e.g. Companies Act, FAIS Act, FICA, CISCA, Pension Fund Act (Reg 28), PFMA, Financial Markets Act, JSE Listing Requirements, Competition Act, King IV, Properties related legislations)
- Good report writing skills
- Project Management skills
- Interpersonal skills
- Knowledge of and experience in Corporate Governance
- Operational Risk Management

With the PIC having topped the R2 trillion mark in assets under management and in the process of entering the global investment market, it is the best asset manager any serious professional would want to be associated with. It is also one of the better places from which one can serve South Africa.

PIC is an equal opportunity employer

Closing Date: 12 February 2019

Please email a copy of your comprehensive CV to Recruitment4@pic.gov.za

*** Grade range is from D3 – D5 commensurate with applicable minimum requirements.**